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NOTICE OF ALLOWANCE AND FEE(S) DUE

32329 7590 07/13/2009

IBM CORPORATION
INTELLECTUAL PROPERTY LAW
11501 BURNET ROAD
AUSTIN, TX 78758

EXAMINER

HO, BINH VAN

ART UNIT

PAPER NUMBER

2163

DATE MAILED: 07/13/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/697,895

10/30/2003

Marc S Carter

GB920020077US1

9228

TITLE OF INVENTION: METHODS, APPARATUS AND COMPUTER PROGRAMS FOR VISUALIZATION AND MANAGEMENT OF DATA ORGANISATION WITHIN A DATA PROCESSING SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$0	\$1510	\$1510	10/13/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

**Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

32329 7590 07/13/2009

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Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/697,895 10/30/2003 Marc S Carter GB920020077US1 9228

TITLE OF INVENTION: METHODS, APPARATUS AND COMPUTER PROGRAMS FOR VISUALIZATION AND MANAGEMENT OF DATA ORGANISATION WITHIN A DATA PROCESSING SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional NO \$1510 \$0 \$1510 \$1510 10/13/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
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HO, BINH VAN 2163 707-100000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, 1 _____
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 2 _____
- 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent) : ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

4b. Payment of Fee(s); (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____

Date _____

Typed or printed name _____

Registration No. _____

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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10/697,895	10/30/2003	Marc S Carter	GB920020077US1	9228
32329	7590	07/13/2009	EXAMINER	
IBM CORPORATION INTELLECTUAL PROPERTY LAW 11501 BURNET ROAD AUSTIN, TX 78758			HO, BINH VAN	
			ART UNIT	PAPER NUMBER
			2163	
DATE MAILED: 07/13/2009				

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 510 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 510 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability	Application No.	Applicant(s)	
	10/697,895	CARTER ET AL.	
	Examiner	Art Unit	
	BINH V. HO	2163	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 10/22/2008.
2. ☒ The allowed claim(s) is/are 1,2,4 and 6-26.
3. ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) ☒ All b) ☐ Some* c) ☐ None of the:
 1. ☒ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
 - * Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
 - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- | | |
|--|---|
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) 3. <input type="checkbox"/> Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date _____ 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit
of Biological Material | <ol style="list-style-type: none"> 5. <input type="checkbox"/> Notice of Informal Patent Application 6. <input type="checkbox"/> Interview Summary (PTO-413),
Paper No./Mail Date _____. 7. <input checked="" type="checkbox"/> Examiner's Amendment/Comment 8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance 9. <input type="checkbox"/> Other _____. |
|--|---|

/BH/
Examiner

/don wong/
Supervisory Patent Examiner, Art Unit 2163

DETAILED ACTION

Terminal Disclaimer

1. The terminal Disclaimer filed on 01/12/2009, has been reviewed and is accepted.

Examiner's Comments

2. Claim 24 is being allowed under the assumption that the "computer readable storage medium" is supported in paragraph [0037] of the Specification, wherein the "computer readable storage medium" **does not** include: wireless medium.

Examiner's Amendment

3. An examiner's amendment to the record appears below. Should the changes and /or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.3.12. To ensure consideration of such an amendment, it **MUST** be submitted no later than the payment of the issue fee.

4. The following claim has been amended upon agreement by applicant during a telephone conversation with Mr. Gerald H. Glanzman on 06/24/2009.

Amendments to claims 1, 4, 16, and 24-26; and canceled claims 3, and 5:

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Claim 1 (Currently Amended):

A method for managing data organisation for computer programs, the method including the steps of:

generating and storing a reference taxonomy, the reference taxonomy comprising information defining a user preference for data organization;

accessing storage associated with a computer program to obtain an application taxonomy, the application taxonomy comprising information defining an organisation of stored data items of the program;

comparing the reference taxonomy with the application taxonomy to identify matching and non-matching features of the compared taxonomies; and

in response to a selection of a preferred taxonomy based on a result of the comparison, storing the preferred taxonomy as a replacement of at least one of the reference taxonomy and the application taxonomy, wherein the step of storing the preferred taxonomy in response to a selection of the preferred taxonomy includes generating a modified application taxonomy which includes features of the compared reference taxonomy, and wherein the generated reference taxonomy includes nodes representing data structures and information representing relationships between data structures, and wherein the step of generating a modified application taxonomy includes repositioning data structures within the compared application taxonomy, such that the relationships between the data structures of the modified application taxonomy and nodes of the reference taxonomy are more consistent than the relationships between

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data structures of the compared application taxonomy and nodes of the reference taxonomy.

Claim 3 (Cancelled)

Claim 4 (Currently Amended):

A method according to claim [[3]] 1, wherein ~~the generated reference taxonomy includes nodes representing data structures and information representing relationships between data structures, and wherein~~ the step of generating a modified application taxonomy includes generating at least one new data structure within the modified application taxonomy which new data structure corresponds to a node of the compared reference taxonomy.

Claim 5 (Cancelled)

Claim 16 (Currently Amended)

A taxonomy manager for managing data organisation for computer programs, comprising:

means for generating and storing a reference taxonomy, the reference taxonomy comprising information defining a user preference for data organisation;

at least one adapter program for accessing storage associated with a respective computer program to obtain an application taxonomy, the application taxonomy comprising information defining an organisation of stored data items of the program;

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means for comparing the reference taxonomy with the application taxonomy to identify matching and non-matching features of the compared taxonomies; and means, responsive to a selection of a preferred s taxonomy based on a result of the comparison, for storing the preferred taxonomy as a replacement of at least one of the reference taxonomy and the application taxonomy, wherein the means for storing the preferred taxonomy responsive to a selection of the preferred taxonomy includes means for generating a modified application taxonomy which includes features of the compared reference taxonomy, and wherein the generated reference taxonomy includes nodes representing data structures and information representing relationships between data structures, and wherein the means for generating a modified application taxonomy includes means for repositioning data structures within the compared application taxonomy, such that the relationships between the data structures of the modified application taxonomy and nodes of the reference taxonomy are more consistent than the relationships between data structures of the compared application taxonomy and nodes of the reference taxonomy.

Claim 24 (Currently Amended)

A computer program stored on a computer readable storage medium for managing data organisation, comprising:

program code for generating and storing a reference taxonomy, the reference taxonomy comprising information defining a user preference for data organisation;

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program code for accessing storage associated with a first computer program to obtain an application taxonomy, the application taxonomy comprising information defining an organisation of stored data items of the first program;

program code for comparing the reference taxonomy with the application taxonomy to identify matching and non-matching features of the compared taxonomies; and

program code, responsive to a selection of a preferred taxonomy based on a result of the comparison, for storing the preferred taxonomy as a replacement of at least one of the reference taxonomy and the application taxonomy, wherein the program code for storing the preferred taxonomy responsive to a selection of the preferred taxonomy includes program code for generating a modified application taxonomy which includes features of the compared reference taxonomy, and wherein the generated reference taxonomy includes nodes representing data structures and information representing relationships between data structures, and wherein the program code for generating a modified application taxonomy includes program code for repositioning data structures within the compared application taxonomy, such that the relationships between the data structures of the modified application taxonomy and nodes of the reference taxonomy are more consistent than the relationships between data structures of the compared application taxonomy and nodes of the reference taxonomy.

Claim 25 (Currently Amended)

A computer program stored on a computer readable storage medium according to claim 24, including a graphical user interface for displaying taxonomies to a user and for responding to user inputs to identify selection of the preferred taxonomy.

Claim 26 (Currently Amended)

A data processing apparatus including: a data processor; data storage; one or more computer programs for controlling the operation of the data processor to perform operations on data items stored in the data storage; and a taxonomy manager for managing organisation of stored data in association with the one or more computer programs, the taxonomy manager including:

means for generating and storing a reference taxonomy, the reference taxonomy comprising information defining a user preference for data organisation;

an adapter for accessing storage associated with a computer program to obtain an application taxonomy, the application taxonomy comprising information defining an organisation of stored data items of the program;

means for comparing the reference taxonomy with the application taxonomy to identify matching and non-matching features of the compared taxonomies; and

means, responsive to a selection of a preferred taxonomy based on a result of the comparison, for storing the preferred taxonomy as a replacement of at least one of the reference taxonomy and the application taxonomy, wherein the means for storing the preferred taxonomy responsive to a selection of the preferred taxonomy includes

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means for generating a modified application taxonomy which includes features of the compared reference taxonomy, and wherein the generated reference taxonomy includes nodes representing data structures and information representing relationships between data structures, and wherein the means for generating a modified application taxonomy includes means for repositioning data structures within the compared application taxonomy, such that the relationships between the data structures of the modified application taxonomy and nodes of the reference taxonomy are more consistent than the relationships between data structures of the compared application taxonomy and nodes of the reference taxonomy.

The Examiner's amendment has been made in order to place the application in a condition for allowance.

Reasons for Allowance

5. The following is an examiner's statement of reason for allowance:

Claims 1, 16, 24, and 26 are considered allowable since the prior made of record and considered pertinent to the applicant's disclosure does not teach or suggest the claimed limitations. McClendon (U.S. 6,625,619) or Maze (U.S. 2006/0090066) or Spring (U.S. 7,181,468) taken individually or in combination, do not teach the claimed invention having a method for managing data organisation for computer programs, the method including the steps of: generating and storing a reference taxonomy, the reference taxonomy comprising information defining a user preference for data organization; in response to a selection of a preferred taxonomy based on a result of the

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comparison, storing the preferred taxonomy as a replacement of at least one of the reference taxonomy and the application taxonomy, wherein the step of storing the preferred taxonomy in response to a selection of the preferred taxonomy includes generating a modified application taxonomy which includes features of the compared reference taxonomy, and wherein the generated reference taxonomy includes nodes representing data structures and information representing relationships between data structures, and wherein the step of generating a modified application taxonomy includes repositioning data structures within the compared application taxonomy, such that the relationships between the data structures of the modified application taxonomy and nodes of the reference taxonomy are more consistent than the relationships between data structures of the compared application taxonomy and nodes of the reference taxonomy with a combination of all recitations as defined in claims 1, 16, 24, and 26.

Therefore, claims 1-2, 4, and 6-26 *are* presently allowed.

6. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Inquiry

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Binh V. Ho whose telephone number is 571 272 8583. The examiner can normally be reached on M-F from 8:00AM - 4:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Don K. Wong can be reached on 571 272 1834. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Binh V Ho
Examiner
Art Unit 2163

/don wong/

Supervisory Patent Examiner, Art Unit 2163